



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Complete if Known

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| Application Number | 10/767,651 |
| Filing Date | January 29, 2004 |
| First Named Inventor | Simarjeet S. SAINI et al. |
| Art Unit | 3663 |
| Examiner Name | Eric L. Bolda |
| Attorney Docket No. | 0300-004 |

Sheet 1 of 2

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|----------------------|---|--------------------------------|--|---|
| EB | | U.S. 6,310,720 | 10/30/2001 | WALKER et al. | |
| EB | | U.S. 5,982,531 | 11/09/1999 | EMERY et al. | |
| EB | | U.S. 6,310,995 | 10/30/2001 | SAINI et al. | |
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FOREIGN PATENT DOCUMENTS

| Examiner's Initials* | Cite No ¹ | Foreign Patent Document "Country Code" ³ "Number" ⁴ "Kind Code" ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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Examiner's
Signature

Eric Bolda

Date
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8/4/06

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option ***

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| Sheet 2 | of 2 | Attorney Docket No. | 0300-004 |

NON-PATENT LITERATURE DOCUMENTS

| Examiner's Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|----------------------|----------------------|---|----------------|
| EB | 1 | Shimada, S., et al., "Optical Amplifiers and their Applications," Semiconductor Laser Amplifiers, John Wiley & Sons, Chapter 4, 1994, pp. 70-72 | |
| EB | 2 | Koga, M., et al., "Polarization Insensitive Optical Amplifier Consisting of Two Semiconductor Laser Amplifiers and a Polarization Insensitive Isolator in Series," IEEE Photonics Technology Letters, Vol. 1, No. 12, December 1989, pp. 431-433 | |
| EB | 3 | Gustavsson, M., "Analysis of Polarization Independent Optical Amplifiers and Filters Based on Polarization Rotation in Periodically Asymmetric Waveguides," IEEE Journal of Quantum Electronics, Vol. 29, No. 4, April 1993, pp. 1168-1178 | |
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| Examiner's Signature | Eric Bolda | Date Considered | 8/4/06 |
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